



1 Article

- A complex mixture of diterpenic acids and their
 oxidation products from *Pinus sylvestris* resin
 comparatively analyzed by GC-MS and NMR
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14 Abstract: The wood of Pine trees can have significant amounts of diterpenic (resin) acids, 15 particularly when the trees are stressed or injured. Resin acids are an important industrial raw 16 material used in a myriad of applications. The analysis of complex resin acid mixtures have been 17 done more commonly by GC-MS but also by NMR. Here we analyzed a resin acid sample from a P. 18 sylvestris wood with an abnormally high resin acid content of 30%, both by GC-MS and NMR to 19 compare the results. The GC-MS analysis showed a mixture of dominantly abietane-type resin acids, 20 namely dehydroabietic acid (50%) and their hydroxylated forms (35%), and much smaller quantities 21 of pimarane type resin acids (8%). The NMR analysis showed a higher proportion of abietic acid 22 (42% to 3%) and pimarane type acids (95% to 15%) relative to dehydroabietic acid, comparatively 23 to the GC-MS analysis. It is hypothesized that the conversion of abietadienoic acids (e.g. abietic) to 24 dehydroabietic acid can be significant in high-temperature GC-MS and that pimarane (pimaric and 25 isopimaric) acids are under-evaluated in GC-MS analysis relative to abietane acids comparatively 26 to NMR analysis. It is concluded that the later can give more accurate results, minimizing resin acids 27 degradation and avoiding GC-MS quantitation bias.

Keywords: resin; *Pinus sylvestris*; Scots pine; diterpenic acids; resin acids, dehydroabietic acid; GC MS; NMR ..

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31 **1. Introduction**

32 Pine (Pinus sp.) trees have an extensive network of resin canals, spreading both vertically and 33 radially through its wood. Resin canals are defined and bordered by the so-called epithelial cells, 34 where "oleoresin" (as the in vivo resin is called) is biosynthesized and accumulated. This resiniferous 35 system is a defense mechanism of the living trees: upon any type of aggression, the tree exudes its 36 resin, either sealing mechanical wounds or repelling biotic attacks. Furthermore, as a consequence of 37 continued injury or stress, the pine trees will produce numerous resin canals in the affected areas. 38 The later mechanism is exploited in the industrial extraction of resin from pine trees, where physical 39 cuts and/or chemical attack are used to enhance resin production.

40 The oleoresin is a viscous solution made mainly of terpenic compounds: a mixture of 41 monoterpenes makes the "solvent", and a mixture of diterpenic acids the "solute". Roughly, the more 42 volatile monoterpenes account for a third of the oleoresin composition, and the non-volatile 43 diterpenic (or resin) acids for the remaining two thirds. After separation by distillation, the volatile 44 monoterpene fraction forms the "turpentine" (a colorless liquid), and the non-volatile fraction forms 45 the "colophony" (or "rosin"), a yellow to brownish vitreous solid. Pines resin products and their 46 derivatives have long been important materials and the list of their industrial applications is very 47 long: monoterpenes are used in solvents, fragrances, insecticides, pharmaceutical products, etc.; resin 48 acids and their derivatives are used in inks, lacquers, polymer additives, chewing gum, 49 pharmaceutical products, synthetic rubber and many other niche uses. However, for a number of 50 important wood-based products the presence of resin is a significant disadvantage, as is the case of 51 pulp and paper and wood composites industries, where it can interfere with the manufacturing 52 process or affect the performance of the end product.

53 From an analytical point of view, the separation and quantitation of resin components can be 54 challenging, due to the complexity of the natural mixtures, and because of the structural similarities 55 between some of its components. In the case of the diterpenic acids, which are our point of interest 56 here, resin acids from to the same skeletal type, can differ only by the position of the double bonds 57 within the rings system or the stereochemistry of asymmetric carbons (Figure 1). GC-MS is the 58 standard technique for the analysis of resin diterpenic acids mixtures. GC can separate most of the 59 individual resin acids and the EIMS spectra (together with the retention time) afford their safe 60 identification. GC-MS is also used to quantify the resin acids, using the integrated areas of their peaks 61 in the Total Ion Chromatograms (TIC). However, in the absence of costly and fastidious calibration, 62 the TIC areas can be more or less erroneous in the quantitation of the resin acids, due to their known 63 limitations: the peak areas are affected by the fragmentation pattern of each compound and the 64 response to their relative quantity in the mixtures can be non-linear. Besides, because GC works at 65 elevated temperatures, thermal degradation or isomerization of the resin acids is possible.

66 Another tool for the quantitative/qualitative analysis of diterpenic acid mixtures is NMR. ¹H 67 signal peak areas are accurately proportional to the number of protons within a small error margin, 68 when using appropriate NMR acquisition parameters, namely sufficiently long relaxation times 69 between scans [1]. As long as one ¹H signal can be assigned to each of the resin acids, at least the 70 relative quantitation the latter can be done by ¹H NMR. Thermal degradation can also be avoided this 71 way, since most NMR manipulation and analysis can be carried out at ambient temperature. 72 However when we are dealing with very complex mixtures (as the case here), overlapping of the ¹H 73 NMR signals can prevent their assignment to a single compound and their use for quantitation. In 74 the literature there are reports of *Pinus* sp. resin acids mixtures composition analysis both by ¹H and 75 ¹³C NMR [2-4]. Although ¹³C decoupled peak lines from the different resin acids can be better resolved 76 than ¹H ones, quantitation using 13C nuclei is much more demanding in the setup of the NMR 77 experiment, for instance to get a good signal-to-noise ratio when dealing with minor components [1].

78 In the present work we analyzed the resin acids from the wood of a *Pinus sylvestris* (Scots pine) 79 tree, both by GC-MS and NMR, and the results compared. The wood of this tree had an exceptionally 80 high resin content, approximately 30% w/w, making it particularly interesting for the present 81 analysis. Also, this resin sample was shown to be highly oxidized, a degradation process of resin 82 acids due to its manipulation or contact with air and light. The resin diterpenic acids were identified 83 by their EIMS spectra from the GC-MS analysis. This resin sample was analyzed in a high-field (800 84 MHz) NMR, and one-dimensional ¹H and ¹³C APT, as well as two-dimensional homonuclear H-H J-85 resolved and COSY, and heteronucler C-H HSQC e HMBC spectra acquired. The NMR spectra were 86 used to identify the structural moiety of the resin acids specific to each of them, and to select the ¹H 87 s to be used for their quantitation. The results of the GC-MS and ¹H NMR quantitation are compared 88 and the differences found discussed in the perspective of their use for the quantitation and 89 composition analysis of resin acids mixtures and their degradation products.

Pimarane (skeletal type) diterpenic acids



Abietane (skeletal type) diterpenic acids



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- 92 Figure 1. Structural formula, skeletal type and numbering of the diterpenic resin acids and
- 93 oxidized derivatives identified in the GC-MS analysis of the *Pinus sylvestris* resin sample.
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95 2. Results

96 2.1 GC-MS analysis

97 The structure of the resin diterpenic acids found in the present analysis, arranged according to 98 their biosynthetic skeletal type, namely Abietane and Pimarane, are presented in Figure 1. The results 99 of the GC-MS analysis of the *Pinus sylvestris* resin sample is presented in Figure 2, with the identified 100 compounds pinpointed together with their relative peak areas. The compounds were identified based 101 in their EIMS spectra, by comparison with library spectra (Nist11/Wiley9), published spectra [5] and spectra of standards; the respective mass spectra (as TMS derivatives) are presented as
Supplementary material, Figure S1 (*a-k*), with some of the more diagnostic fragment ions highlighted.

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152 Figure 2. TIC chromatogram of the GC-MS analysis of the *Pinus sylvestris* resin sample.153

155 The composition of the present resin extract, as analyzed by GC-MS, was dominated by 156 dehydroabietic acid (DHA), approximately 50%, and its oxidized forms, mostly as hydroxylated 157 derivatives in positions 7 and 15, namely 15-Hydroxy-DHA (\approx 20%) and 7 α -Hydroxy-DHA (\approx 12%). 158 Abietic acid, which belong to the same structural family of DHA (Figure 1), was found in a minor 159 quantity, $\approx 1\%$. Pimaric acid, $\approx 7\%$, and Isopimaric acid, <1%, representatives of the pimarane skeletal-160 type of diterpenic acids, were found in comparatively much lower percentages. A non-identified 161 small "phenolic acid" represented a little more than 1% of the TIC integrated area, and other non-162 identified peaks totalized 2%.

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164 2.2 NMR analysis

165 The assignment of the ¹H and ¹³C chemical shifts of the more relevant and distinguishing 166 structural moieties on the diterpenic acids found in the present analysis are presented in Figure 3 (*a*-167 *h*). The assignments were based on the interpretation of the NMR spectra, including one-dimensional 168 ¹H and ¹³C one-dimensional, and the two-dimensional H-H correlations (COSY), resolution of the H-169 H couplings (J-Resolved), C-H direct correlations (HSQC) and long range ²J_{CH} and ³J_{CH} correlations 170 (HMBC), shown as Supplementary material, Figure S2 (*a-f*). Also, previous NMR analysis of some of 171 the diterpenic acids were used to cross-check our assignments [4,7,9,12-22].

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(c) 7α-Hydroxydehydroabietic acid



(d) 7β-Hydroxydehydroabietic acid



(e) 7-Oxodehydroabietic acid



(f) Abietic acid



(g) Pimaric acid



(h) Isopimaric acid



Figure 3. [Precedent pages] *a-h*. 1H and 13C NMR assignments of the structurally relevant (and discriminating) moieties of the diterpenic acids identified in the analysis of the *Pinus sylvestris* resin.

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182 The main component of the resin acid mixture, dehydroabietic acid (Figure 4a), could be 183 singled out by the three aromatic protons and carbons of its aromatic ring, together with the proton 184 at position 15 in the propyl branch, which gave rise to a mostly clean septet at 2.82 ppm, due to the 185 coupling to the two equivalent methyl groups at positions 16 and 17. The 15-Hydroxy-DHA acid 186 (Figure 4b) could be distinguished from DHA by the slightly deshielded aromatic protons and the 187 two methyl groups in the propyl branch (positions 16 and 17), whose comparatively deshielded 1H's 188 due to the vicinity of the 15-OH, gave rise to a prominent singlet at 1.56 ppm. The 7α -Hydroxy-DHA 189 and 7β -Hydroxy-DHA (Figures 4*c* and *d*) could be identified and discriminated by their downfield 190 oxygenated methyne proton in position 7, with different chemical shifts due to the α or β 191 stereochemistry, at 4.79 ppm for the former and 4.90 ppm for the later. The 7-Oxo-DHA (Figure 4e), 192 could be identified by its 7-keto carbon at ≈198 ppm, and some its long range correlations in the 193 HMBC spectrum. Abietic acid and Pimaric acid could be distinguished by their vinyl single protons 194 in the ring system, H-14 in abietic acid at 5.77 ppm (Figure 4f) and at the same H-14 position in Pimaric 195 acid at 5.14 ppm (Figure 4g). Pimaric and Isopimaric acids could be distinguished by the H-7 proton 196 in the later (Figure 4*h*), due to the different position of the double-bond in the ring system, but also 197 by the differences in the vinyl protons in positions 15, 16 and 17.

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201 3. Discussion

202 Resin acid content. The analyzed resin sample was extracted from the wood of a Pinus sylvestris 203 tree located in a mountainous (Serra do Gerês) region in north Portugal; P. sylvestris spreads for most 204 of northern and central Eurasia, and the tree analyzed is located in the most southwestern point of 205 its distribution area. The wood sample analyzed had an exceptionally high resin content, 31.3% w/w, 206 as assessed by its dichloromethane extract. Much lower values had been found elsewhere: in north 207 Sweden, the resin acid content of *P.sylvestris* averaged 1.5% in the heartwood, less than 0.3% in the 208 sapwood, with a mean of 1% for the total wood [6]; in Central Norway the vales for resin acid content 209 in sapwood/heartwood were 0.3%-1.1%/1.4-4.4% [7]. The reasons behind the exceptionally high resin 210 content of the wood of the P. sylvestris tree sampled, either genetic, environmental or result of an 211 eventual injury, were not determined.

212 Resin diterpenic acid composition. Compared with literature results for the P. sylvestris wood 213 resin composition, the present results are fundamentally different: previous analyses showed that 214 abietadienoic resin acids - abietic, neoabietic, palustric and levopimaric - are largely dominant, when 215 compared with dehydroabietic acid; the oxidized forms of the later are, as a rule absent or found as 216 minor components [4,7-9]. The main difference between abietadienoic acids and dehydroabietic acid, 217 both of the abietane structural type, is that the former have two conjugated double-bonds (the 218 difference between them being their location within the ring system), and the three double bonds of 219 the later, making the aromatic ring (Figure 1). Dehydroabietic acid thus is a dehydrated form of the 220 abietadienoic acids. This means that the sample we have analyzed was a highly dehydrated and 221 oxidized resin acid fraction of the original P. sylvestris wood resin. The dehydration-oxidation of 222 abietadienoic acids to dehydroabietic acid, and the later to its oxidized and hydroxylated forms is a 223 known phenomenon: after exposure to air and light, abietadienoic acids degrade to the more stable 224 form of dehydroabietic acid [10]. Also, oxidation happens in the long run, and is found in historical 225 and archaeological materials where resin acid products were originally used [11]. However, thermal 226 degradation of abietadienoic acids to dehydroabietic acid and their derivatives, can also occur due to 227 the analytical methodologies used, as discussed below when comparing GC-MS and NMR results.

230 GC-MS vs NMR quantitative analysis. The comparison of the relative quantities of the 231 different diterpenic resin acids in the Pinus sylvestris resin fraction, as analyzed by GC-MS and NMR 232 is presented in Table 1. Several issues are to be considered. First, the choice of the protons to be used 233 in the relative NMR quantitation of the resin acids. The selection of the proton signals followed two 234 main criteria: first to be unequivocally be part of the targeted resin acid (see Results); and second, to 235 be in a "clean" part of the ¹H spectrum, with no overlapping with other signals. These criteria could 236 be mostly met. However, some of the "distinguishing" signals used for the main resin acids could 237 also come from some of the minor ones, structurally related to the former. This means that some of 238 the areas accounted for the main resin acids, probably also include some of those minor resin acid 239 constituents. This situations are listed in the notes of Table 1.

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Table 1. Relative composition of resin diterpenic acids in *Pinus sylvestris* wood, as quantified by GC-MS and ¹H NMR peak areas integration*

	¹ H NMR			GC-MS	
	H-position	ppm	Split	Area	Area
Pimaric acid	H-14	5.14	S	0.54	0.14
Isopimaric acid	H-7	5.33	bm	0.41	0.01
Dehydroabietic acid	H-14	6.88	d	1.00	1.00
Abietic acid	H-14	5.77	s	0.42	0.03
7α-Hydroxy-DHA ¹	H-7	4.80	d	0.44	0.24
7β-Hydroxy-DHA ¹					0.01
?-Hydroxy-DHA					0.01
15-Hydroxy-DHA	H-11	7.20	d	0.53	0.40
7-Oxo-DHA ¹					0.02
7α,15-Dihydroxy-DHA ²					0.04
7β,15-Dihydroxy-DHA ²					0.01
15-Hydroxy-7-oxo-DHA2	2				0.01

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* "Areas" are relative to the biggest compound detected in the GC-MS and NMR analysis, in
both cases the dehydroabietic acid peak area, assigned as 1.00; the NMR areas were calculated
based in the molar proportion of the selected protons for quantitation and corrected for the
different molecular masses of the diterpenic acids.

268 ¹ Eventually included in the NMR "Dehydroabietic acid" area (similar signal for H-14)

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269 <sup>2</sup> Eventually included in the NMR "15-Hydroxy-DHA" area (similar signal for H-11)
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272 In spite of this limitation, the results in Table 1 clearly show that both abietic acid and the 273 pimarane-type pimaric and isopimaric acids were under-evaluated in the GC-MS analysis, assuming 274 that the NMR approach is more accurate. In the case of abietic acid, the relation to DHA is 3% in the 275 GC-MS analysis, and 42% in the ¹H NMR quantitation. This means that abietic was probably present 276 in the resin extract in a higher relative quantity to DHA than the "1%" seen in the GC-MS analysis. 277 This would be the expected, since the *P. sylvestris* resin acid composition from several other sources 278 typically shows high amounts of abietic acid (and other abietadienoic acids) in relation to DHA. This 279 would mean that the dehydration-oxidation of abietic (or other abitadienoic acids eventually present 280 originally in resin acid before analysis) occurred during the GC-MS analysis experimental setup or 281 the high temperature conditions used.

Besides the degradation of the abietadienoic acids to DHA and its oxidized forms due to the GC-MS methodology, the other possibility is that the GC-MS analysis indeed gives biased intensities for different compounds. This is illustrated by the fact that both pimaric and isopimaric, which are not supposed to be converted to DHA, also showed under-evaluated in the GC-MS analysis as compared to the NMR quantitation approach, respectably by a factor o 4x and 40x, respectively. Also, some error might exist in the peak are integration both in the GC-MS and NMR analysis, when peaks are small (as in these two later resin acids). However the results clearly indicate that both pimaric and isopimaric acids were probably under-evaluated in the GC-MS analysis as compared to DHA.

Together this show that in the analysis of resin acid mixtures, the history of the sample manipulation, experimental setup and methodology choices can have a profound impact in the results obtained. GC-MS analysis of resin acids showed to be prone to discrimination due to structure – pimarene type acids could be under-evaluated, and thermal conversation of abietadienoic acids like abietic acid to dehydroabietic acid can occur. In this sense, NMR would a preferable tool to the composition analysis of resin diterpenic acids mixtures.

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299 4. Materials and Methods

Wood material. A wood sample from a *Pinus sylvestris* (commonly named Scots pine) tree located in Serra do Gerês, Braga, Portugal (Project Reference Sample 49) was taken with an increment (Pressler) borer at 1.30 height. The wood section was taken to include all the radial growth, from the pith to the cambial zone. The wood sample was air dried and ground in a Retsch SM 2000 mill to pass a 6 mm sieve, and further ground in a Retsch ZM 100 mill to pass a 0.5 mm reverse sieve.

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306Resin extraction. An aliquot of the wood ground material (1.5 g) was extracted with the307dichloromethane (6 hours) in a Soxhlet apparatus. The extractives content was assessed by the weight308loss after the extraction.

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GC-MS analysis. Aliquots of the dried extracts (1 mg) were derivatized with 20 uL of Pyridine
and 20 uL of BSTFA, and kept at 60°c for 30 min before injection. GC-MS were run in an Agilent
Technologies 78990A/5975C MSD, with the following GC conditions: injector 320°C; Initial
temperature 80°C (5 min), 5°C/min up to 110°C, 20°C/min up to 250°C, and 8°C/min up to 320°C (15
min).

316 *NMR analysis.* For the NMR spectroscopy analyses the dried resin extract, 5 mg, was dissolved 317 in 1 mL of perdeuterated chloroform. All spectra were acquired on a Bruker AVANCE III 800 318 spectrometer (Bruker, Rheinstetten, Germany) working at a proton operating frequency of 800.33 319 MHz, equipped with a four channel 5 mm inverse detection probe head with pulse-field gradients 320 along the Z axis.

321 Spectra were run at 25°C using standard Bruker pulse programs. ¹H and ¹³C chemical shifts are 322 referenced to tetra methyl sylane. ¹³C spectra were recorded at 201.24 MHz using the APT (attached 323 proton test) sequence. The modulation of peak sign, to distinguish methyl and methyne from 324 methylene signals, was achieved using a delay of 6.89 ms for the evolution of ¹JCH. Proton decoupling 325 was applied during the acquisition stage using the WALTZ-16 sequence. In the two-dimensional ¹H-326 ¹³C heteronuclear single quantum coherence (HSQC) spectra, a delay of 3.45 ms was used for 327 evolution of ¹J_{CH}, while in the heteronuclear multiple bond connectivity (HMBC) spectra a delay of 328 73.5 ms was used for evolution of long range couplings. In the HSQC, proton decoupling was 329 achieved using the GARP4 sequence.

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333 Supplementary Materials: The following are available online at www.mdpi.com/link, Figure S1: GC-MS spectra 334 Figure S2: NMR spectra. 335 336 Acknowledgments: This work was supported by the Portuguese Science Agency (Fundação para a Ciência e a 337 Tecnologia) grant Project RECI/AGR-TEC/0493/2012 and is part of the Forest Research Center (Centro de Estudos 338 Florestais) activities (Project UID/AGR/00239/2013). 339 340 Author Contributions: J.G. and J.R. conceived and designed the experiments; S.S. performed the experiments; 341 J.G. and S.S. analyzed the data; P.L. designed and performed the NMR analysis; J.G. wrote the paper. 342 343 **Conflicts of Interest:** The authors declare no conflict of interest. 344 345 346 References 347 348 Claridge, T. High-resolution NMR techniques in organic chemistry. Elsevier, Oxford, UK, 2009. 1. 349 2. Rezzi, S., Bighelli, A., Castola, V., Casanova J. Direct Identification and quantitative determination of acidic 350 and neutral diterpenes using 13C-NMR spectroscopy - application to the analysis of oleoresin of Pinus 351 nigra. Appl. Spectrosc. 2002, 56, 312-317, http://journals.sagepub.com/doi/abs/10.1366/0003702021954890. 352 Rezzi, S., Bighelli, A., Castola, V., Casanova, J. Composition and chemical variability of the oleoresin of 3. 353 Pinus nigra ssp. laricio from Corsica Ind Crops Prod. 2005, 21. 71-79, 354 http://www.sciencedirect.com/science/article/pii/S0926669003001535. 355 Skakovskii, E., Tychinskaya, E., Gaidukevich, O., Kozlov, N., Klyuev, Y., Lamotkin, S., Shpak, S., Rykovd 4. 356 S. NMR determination of the composition of balsams from Scots pine. J. Appl. Spectrosc. 2008, 75, 439-443, 357 http://link.springer.com/article/10.1007/s10812-008-9065-y. 358 Azemard, C., Menager, M., Vieillescazes, C. Analysis of diterpenic compounds by GC-MS/MS: contribution 5. 359 to the identification of main conifer resins. Anal. Bioanal. Chem. 2016, 408, 6599-6612, 360 http://link.springer.com/article/10.1007%2Fs00216-016-9772-9. 361 Arshadi, M., Backlund, I., Geladi, P., Bergsten, U. Comparison of fatty and resin acid composition in boreal 6. 362 lodgepole pine and Scots pine for biorefinery applications. Ind. Crops Prod. 2013, 49, 535-541, 363 http://www.sciencedirect.com/science/article/pii/S0926669013002896. 364 7. Hovelstad, H., Leirset, I., Oyaas, K., Fiksdahl, A. Screening analyses of pinosylvin stilbenes, resin acids and 365 lignans in Norwegian conifers. Molecules 2006, 11, 103-114, http://www.mdpi.com/1420-366 3049/11/1/103?trendmd-shared=1. 367 Manninen, A-M., Tarhanen, S., Vuorinen, M., Kainulaininen, P. Comparing the variation of needle and 8. 368 provenances. wood terpenoids in Scots pine J. Chem. Ecol. 2002, 28: 211-228, 369 http://link.springer.com/article/10.1023/A:1013579222600. 370 Ekeberg, D., Flæte, P-O., Eikenes, M., Fongen, M., Naess-Andresen, C. Qualitative and quantitative 9. 371 determination of extractives in heartwood of Scots pine (Pinus sylvestris L.) by gas chromatography. J. 372 Chromatogr. A 2006, 1109, 267–272, http://www.sciencedirect.com/science/article/pii/S0021967306001397. 373 10. Smith, P., Gardner, D., Drown, D., Jederberg, W., Still, K. Oxidized Resin Acids in Aerosol Derived from 374 Core Solder. Am. Ind. 889-894, Rosin Hyg. Assoc. J. 1998, 59, 375 http://www.tandfonline.com/doi/pdf/10.1080/15428119891011063. 376 11. Pollard, M., Heron C. Archaeological chemistry. RSC Publishing, Cambridge, UK, 2008. 377 12. Gigante, B., Marcelo-Curto, J. Photooxidation of resin acids. J. Nat. Prod. 1989, 52, 85-94, 378 http://pubs.acs.org/doi/abs/10.1021/np50061a011. 379 13. Landucci, L, Zinkel, D. The 1H and 13C NMR Spectra of the Abietadienoic Resin Acids. Holzforschung 1991, 380 45, 341-346, http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.370.9440&rep=rep1&type=pdf.

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